

### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q102803

Takahide OHISHI, et al.

Appln. No.: 10/511,549

Group Art Unit: 1657

Confirmation No.: 9316

Examiner: Clark D PETERSEN

Filed: October 18, 2004

For: SCREENING METHOD OF AGENTS FOR INCREASING INSULIN CONTENT

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of 08/17/2007 CNGUYENZ 00000129 194880

08/17/2007 CNGUYEN2 00000129 194880 10511549 81 FC:1886 180.00 DA INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/511,549

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Allowance, or an action that otherwise closes prosecution in the application (whichever is

earlier), and therefore the USPTO is directed and authorized to charge for the fee of \$180.00

under 37 C.F.R. § 1.17(p).

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 30,951

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: August 16, 2007

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Substitute for Form 1449 A & B/PTO

# STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2	

Complete if Known					
Application Number	10/511,549				
Confirmation Number	9316				
Filing Date	October 18, 2004				
First Named Inventor	Takahide OHISHI				
Art Unit	1657				
Examiner Name	Clark D. PETERSEN				
Attorney Docket Number	Q102803				

	U.S. PATENT DOCUMENTS							
Examiner	Cite	Document Nu	mber	Publication Date				
Initials*	No.1	Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			
	1	US Application No. 11/657,110			CHEN et al.			
	2	US 2003/0017528	A1	01-23-2003	CHEN et al.			
	3	US 6,468,756		10-22-2002	BONINI et al.			
	4	US 2003/0139590	A1	07-24-2003	BONINI et al.			
	5	US 7,108,991	A1	09-19-2006	CHEN et al.			

	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document		Cite Foreign Patent Document Publication Date		Name of Patentee or			
Initials*	No.	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>		
	6	GB	953,899		04-02-1964	ROLF LAPPE et al.			
	7	EP	0 608 511	A2	08-03-1994	BARBARA ARENA et al.			
	8	WO	87/01257	A2	03-12-0987	PAUL MUNDER			
	9	wo	00/44382		08-03-2000	BEYER et al.			

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>				
Communication enclosing a Supplementary Partial European Search Report for EPO Application No. EP 01998193, mailed March 11, 2005 (7 pages).							
	11	ATTWOOD, "The Babel of Bioinformatics", Science, 2000, 290: 471-473					
	12	BOWIE et al., "Deciphering the Message in Protein Sequences: Tolerance to Amino Acid Substitutions", Science, 1990, 247: 1306-1310					
	13	BURGESS et al., "Possible Dissociation of the Heparin-banding and Mitogenic Activities of Heparin-binding (Acid Fibroblast) Growth Factor-1 from Its Receptor-binding Activities by Site-directed Mutagenesis of a Single Lysine Residue", J. Cell. Biol., 1990, 111: 2129-2138					
	14	KUNTZ, "Structure-Based Strategies for Drug Design and Discovery", Science, 1992, 257: 1078-1082					
LAZAR et al., "Transforming Growth Factor a: Mutation of Aspartic Acid 47 and Leucine 48 Results in Different Biological Activities", Mol. Cell. Biol., 1998, 8: 1247-1252							
	16	METZLER et al., "Solution Structure of Human CTLA-4 and delineation of a CD/80/CD86 Binding Site Conserved in CD28", Nature Structural Biol., 1997, 4: 527-531					
	17	HOLZ et al., "cAMP-dependent Mobilization of Intracellular Ca <sup>2+</sup> Stores by Activation of Ryanodine Receptors in Pancreatic β-Cells", J. Biological Chemistry, 247(20): 14147-14156 (1999)					
	18	Supplementary Partial European Search Report for EPO Application No. EP 01998193, mailed January 3, 2005					

Examiner Signature		Date Considered		
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

Complete if Known

10/511,549

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INFORM	INFORMATION DISCLOSURE		HRE	Confirmation Number	9316
STATEMENT BY APPLICANT				Filing Date	October 18, 2004
SIAIE	VIENI DI AFI	LIC	ANI	First Named Inventor	Takahide OHISHI
(use as many sheets as necessary)			(ער	Art Unit	1657
		Examiner Name	Clark D. PETERSEN		
Sheet	2	of	2	Attorney Docket Number Q102803	

**Application Number** 

U.S. PATENT DOCUMENTS						
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Initials*	No.1	Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US				
		US				
		US				

FOREIGN PATENT DOCUMENTS							
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Initials*	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>

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Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>				
	19	MILLER et al., "Ligand binding to Proteins: The Binding Landscape Model", Protein Science, 1997, 6: 2166-2179					
	20	RUDINGER, "1. Characteristics of the Amino Acids as Components of a Peptide Hormone Sequence", From Peptide Hormones (ed. J. A. Parsons, M.A., B.M., B.Ch.), University Park Press, Baltimore, June 1976, pp. 1-7.					
SKOLNICK et al., "From Genes to Protein Structure and Function: Novel Applications of Computational Approaches in the Genomic Era", Trends in Biotech, 2000, 18(1): 34-39							
	MESSER W.S. "Vasopressin and Oxytocin" [online], April 3, 2000 [retrieved on 2006-12-19]. Retrieved from the Internet: <url:http: mbc3320="" vasopressin.htm="" www.neurosci.pharm.utoledo.edu=""></url:http:>						
	23	Restriction/Election Requirement dated November 1, 2006 in U.S. Application Serial No. 10/975,367					
	24	Response to Restriction Requirement filed on November 30, 2006 in U.S. Application Serial No. 10/975,367					
	25	Non-Final Office Action dated February 7, 2007 in U.S. Application Serial No. 10/975,367					
	26	Amendment as filed May 7, 2007 in response to Non-Final Office Action dated 2/7/2007 in U.S. Application Serial No. 10/975,367					
	27	Declaration of Patent Interference No. 105,561 [US Application 10/278,437 v. US Patent 7,108,991 and US Application 11/657,110]					

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.